cognia

COMMUNICATIONS SURVEILLANCE FOR FINANCIAL COMPLIANCE

Cognia's unified communications archive enables financial institutions to manage communications compliance across multiple locations and across multiple media, without the cost or complexity of traditional approaches.

Cognia enables organizations of any size to capture, analyze and archive communications across multiple channels – including mobile voice, SMS and MMS, landline, video, Skype and social media. It can be used as a standalone solution or integrated with existing trade-surveillance, compliance, or other applications.

REGULATIONS

Used by some of the world's largest banks, Cognia enables compliance and surveillance teams to increase capability and efficiency across multiple regulations and jurisdictions, such as:

Europe's MiFID II, Dodd Frank, UK Financial Conduct Authority (FCA), Financial Industry Regulatory Authority (FINRA), Securities and Exchange Commission (SEC), Commodity Futures Trading Commission (CFTC), National Futures Association (NFA), Investment Industry Regulatory Organization of Canada (IIROC), Monetary Authority of Singapore, Hong Kong Security and Futures Commission, and other similar governing bodies around the world.

FEATURES

‣ Capture and analyze conversations that cross multiple channels

‣ Define storage-locations and retention policies to suit local authority and jurisdiction requirements

‣ Delegate local administration and maintain Chinese walls, while retaining global oversight

‣ Rapidly pin-point relevant communications, by searching and filtering across metadata and media content

‣ Apply legal hold at any organizational level: individuals, teams, department or regions

‣ Share media, including audio/transcribed-audio, and reports for trade reconstruction with management, regulators and advisors

‣ Integrate with trade-surveillance, case-management, CRM or other applications

‣ Simplify operations by consolidating processes across offices and regions that would otherwise rely on local on-site recorders

‣ Free staff to work anywhere by bringing mobile recording into the scope of surveillance operations

‣ Reduce IT costs by replacing expensive and time-consuming deployments and upfront CAPEX with a simple pay-as-you-use cloud service

‣ Improve the effectiveness of surveillance monitoring by using advanced search and analytics tools

‣ Boost the productivity of compliance teams, by reducing the need for time-consuming manual processes

‣ Increase business flexibility and agility, by avoiding vendor lock-in – instead enabling media and events to be exposed to your applications of choice

cognia UNIFIED COMMUNICATIONS ARCHIVE

KEY FEATURES

CAPTURE

Record conversations across multiple channels, operators and territories. The Cognia unified communications archive enables you to capture, and analyze all of your transmissions into one unique global platform.

Mobile: Cognia integrates with mobile operator networks to capture all incoming and outgoing calls, voicemail, SMS and MMS. **Landline:** Media from on-premise or hosted telephone systems, whether TDM or SIP, can be captured. Cognia integrates with over forty of the most popular telephone-system brands. **Video:** Cognia ensures it remains within your compliance, BI or service-assurance controls and processes. **Social:** Available feeds include Twitter, Facebook, Google+, Yammer, as well as blogs and message boards. **Email:** Microsoft Exchange and Gmail to be captured, searched and monitored alongside all other captured media, for eDiscovery and compliance.

ARCHIVE

Manage media in line with policy controls set at any organizational level. Delegate administration, while maintaining global oversight; enforce security policies; and ensure local regulatory compliance.

Media can be stored in Europe, North and South America, and Asia, or pushed to customers' on-premise systems. Through easy-to-use policy controls, multiple locations can be set at any level – from region, to department to recorded user. This also applies to other functions such as storage retention, legal hold, media access, encryption.

DISCOVER

Investigate events, monitor communications, and securely share the results with internal and external parties quickly and easily.

Search across voice and text-based conversations, filtering by dates, user, device, other party, direction, media type. Run ad-hoc and structured, multi-phrase
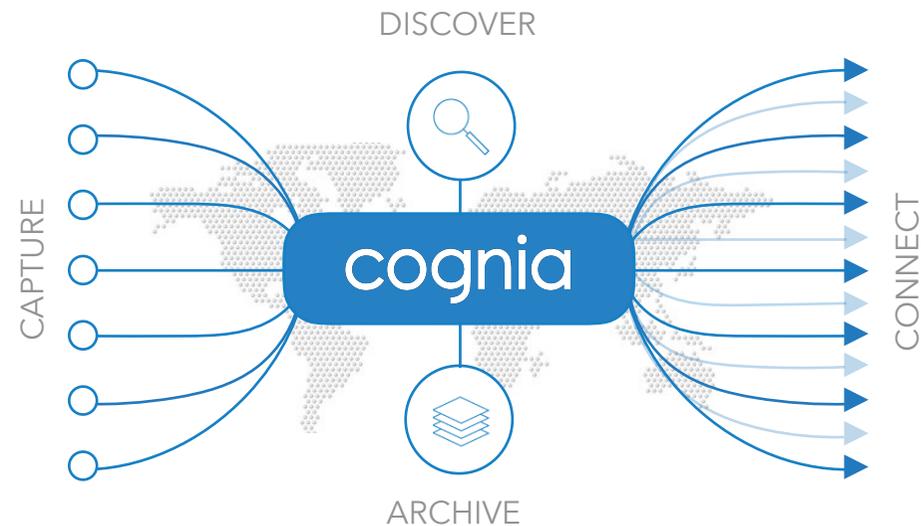
content searches across voice and text using built-in, multi-lingual phonetic and transcription engines. Monitor operations in near-real time using stored searches, application rules, automated tagging and alerts. Share media items quickly and easily with staff and third parties using timed links and multi-factor authentication, to maximize protection of sensitive data. Report on all captured events, including, for example, call chronology.

CONNECT

Expose media, events, alerts, and transcribed media, to your business applications. Select from pre-built prebuilt application plug-ins or integrate using the Cognia API. Enable selective access and use of applications using the platform's organizational and security policy controls.

DISCOVER

CAPTURE

cognia

CONNECT

ARCHIVE

(X) @cogniadotcom   (f) facebook.com/cogniacloud   (in) linkedin.com/company/cognia